



**Note 1:** For a certification package to be forwarded to the Certification Authority for consideration for funds, the system that is operational must have certify they have accurately completed their FISMA process.

**Note 2:** For a certification package to be forwarded to the Certification Authority for consideration for funds, the system must have an Authority To Operate (ATO) or and Interim Authority To Operate (IATO) in place prior to becoming operational.

**Note 3:** For a certification package to be forwarded to the Certification Authority for consideration for funds, the system must have a completed Privacy Impact Assessment/Privacy Act (PIA/PA) template.

**Note 4:** For a certification package to be forwarded to the Certification Authority for consideration for funds, the system must have an approved System Of Records Notification (SORN) in the Federal Register.

**Note 5:** Include an approved Interface Capability Document (ICD)/System Interface Agreement (SIA) or a plan for ensuring and ICD/SIA is in place prior to implementation of the information exchange.

**Note 6:** If system owner is external to DoD, include an assertion letter signed by the system owner stating that the system is in compliance with 5 USC 552a "Privacy Act of 1974", OBM M-03-22 "OMB Guidance for Implementing the Privacy Provisions of the E-Government Act of 2002" and provide a copy of their System Of Records Notice.